

PHY 571: Quantum Physics

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The Transition to Quantum Mechanics

Module 1, [Lecture 6](#), [7](#) and [8](#)

Postulates of Quantum Mechanics

- Describe waves/particles via the **Wave function, $\psi(\mathbf{r},t)$**
- Wave function evolves in time (**Schrödinger**) or the **Operator** evolves in time (**Heisenberg**): equivalent, but they appear to be very different (*several math tools, interacting with one physics; many to one relationship, discuss...*)
- Interpretation of the wave function (**Born**): **Probability, P, of observing** particle is proportional to $|\psi|^2$; normalized to **P = 1**
- Schrödinger equation (SE) is a (deterministic) operator equation; the order of the operations is important: it "operates" on the wave function. Basic is the **time-dependent SE**, but most useful (in materials/ nanoscience) is the **time-independent SE**.
- Download [Transition to Quantum Mechanics](#) document

The Schrödinger Equation(s)

- time-dependent write out: $i\hbar\partial/\partial t(\psi) = H(\psi)$
- time-independent: $H(\psi) = E(\psi)$
- What is H? This is the **Hamiltonian**, classical expression for the Energy = K(kinetic) + V(potential),
- In 1-dimension (1D), $K = -(\hbar^2/2m)\partial^2/\partial x^2$ and $V = V(x)$
- In 3D, $K = -(\hbar^2/2m)\nabla^2$ and $V = V(\mathbf{r})$; $\mathbf{r} = [x, y, z]$, rectangular or $[r, \theta, \phi]$, radial-angular coordinates
- **Whoa!** keep it simple to start with, 1D examples...
Each of these topics rate whole chapters or books, etc

The transition to Quantum Mechanics

- ☺ We want to make the transition from **particles** $\mathbf{r}(t)$ and **waves** $\omega(\mathbf{k})$ to the **wave function** $\psi(\mathbf{r},t)$ which is either/or (or is it) both/and...
- a) This function $\psi(\mathbf{r},t)$ can be associated with (a spread of) energies $E = \hbar\omega$ and momenta $\mathbf{p} = \hbar\mathbf{k}$
- b) $\psi(\mathbf{x},t)$ satisfies: $i\hbar\partial/\partial t(\psi) = -(\hbar^2/2m)\partial^2\psi/\partial x^2 + V(x)\psi$:
this is the time-dependent SE in 1D
- c) **Probability** $P(\mathbf{r},t) = |\psi|^2 = \psi^*\psi$ (of finding particle at position \mathbf{r} and time t)
- ☹ Final element is the role of **Measurement** which "collapses $\psi(\mathbf{r},t)$ onto an **Eigenstate**"... *not trivial, take your time to understand all this*

Do the various authors agree on pedagogy?

- Feynman lecture video: guess \rightarrow prescription (model); prescription \rightarrow consequences, comparison with expt.
- Griffiths book states b) and c) on p1-2; Gasiorowicz argues towards b) and c), but only for a free particle (chapter 2).
- So either way it is a guess, a jump, a *Quantum Leap!* (*Life looks different after you have taken the bait*): a fishy story, but now you see Classical Mechanics as the *incoherent* limit of Quantum Mechanics.
- In QM we add amplitudes and then take $\psi^*\psi$ to get (probabilistic) intensities; in CM we add intensities; understand the importance of phases (coherence)

Example: Real and Complex potentials

- **Probability current** and particle number conservation *leads to a general expression for the current $\mathbf{j}(\mathbf{r})$* that works for free particles and when $V(\mathbf{r})$ is real
- If $V(\mathbf{r})$ is **complex**, particles can be **absorbed (or created)**.
Example: transmission of electrons through a thin film: *the simplest model of the Transmission Electron Microscope (TEM)*.
- For a beam in free space $\psi(\mathbf{r},t) = \psi_0 \exp[i(\mathbf{k}\cdot\mathbf{r} - \omega t)]$ and $\mathbf{j} = (\hbar\mathbf{k}/m)\psi_0^* \psi_0$. This for real $V(\mathbf{r})$, note vectors in 3D
- If there is an imaginary part of the potential $V_i(\mathbf{r})$, there is absorption, $\mathbf{k} \rightarrow \mathbf{k} + i\mathbf{q}$, and then (in 1D) the current $j(x)$ reduces with distance: $\mathbf{j} = (\hbar\mathbf{k}/m)\psi_0^* \psi_0 \exp(-2q\mathbf{x})$

Example: The Importance of Phases

- The 2-slit interference experiment: If ψ_1 and ψ_2 are **coherent**, we add amplitudes and then form intensities.
- **Exercise**: take $\psi_1 = A\exp(ik_1y)$ and $\psi_2 = B\exp(ik_2y)$, where A and B can be complex (i.e. can have phases ϕ_1 and ϕ_2 respectively).
- Work out the intensity $I = \psi^*\psi$, where $\psi = \psi_1 + \psi_2$, keeping proper track of all the complex numbers
- Express the answer in terms of trigonometric functions (cos, sin, etc) if it simplifies, and if it doesn't, find the conditions under which it does (e.g. $|A|=|B|$, $A=B$, or $A = B = 1$). *Note I is real...*
- *Keep your (right) answers at your bedside for future reference..*

Expectation values, Averages and Operators

- **Probability** $P = \psi^* \psi$: But how is this related to classical probability? In classical physics the order of operations doesn't matter, but in quantum physics it does...
- $\langle f \rangle(t)$ the **Average** $= \int f(x)P(x,t)dx$ in classical physics. In quantum physics the same quantity is the
- **Expectation Value** $= \int \psi^* f(x) \psi dx$; *note order*
- $f(x)$ *operates* on ψ . **Operators** may *not commute*
- **Examples**: the free particle SE, operators for \mathbf{p} , x or \mathbf{r} $V(\mathbf{r})$ and E ; Relationship to measurement and the Uncertainty Principle; Poisson brackets and Representations (x and \mathbf{p}). ...*Whoa again!*

Operators for \mathbf{p} , \mathbf{x} or \mathbf{r} , $V(\mathbf{r})$ and E

- In the SE, the kinetic energy $K = -(\hbar^2/2m)\partial^2/\partial x^2\psi$; this is equivalent to $p^2/2m$ (low energy, non-relativistic)
- In operator language p^2 means the operator p acting twice (sequentially from the right); so we choose the operator $p = (\hbar/i)\partial/\partial x$ (or should it be $-1/i = i$?). In 3D, the momentum operator $\mathbf{p} = (\hbar/i)\nabla$. ($\nabla =$ "nabla", gradient, called grad, a vector operator)
- The operators \mathbf{x} , \mathbf{r} are simple multiplications, and also for $V(\mathbf{x}$ or $\mathbf{r})$, *provided that there is no t -dependence*
- The Energy operator is the Hamiltonian $H = K + V$

Example: back to the Current operator, $\mathbf{j}(\mathbf{r})$

- **Probability current** and particle number conservation *leads to* $\partial P/\partial t + \nabla \cdot \mathbf{j}(\mathbf{r}) = 0$, that works for free particles and when $V(\mathbf{r})$ is real
- 1D result: $\mathbf{j}(\mathbf{x}) = (\hbar/2im)(\psi^* \partial/\partial x(\psi) - \psi \partial/\partial x(\psi^*))$.
Exercise: *Write down the 3D result by analogy, and*
- Try this out on a beam in free space, where $\psi(\mathbf{r},t) = \psi_0 \exp[i(\mathbf{k} \cdot \mathbf{r} - \omega t)]$ and show that $\mathbf{j} = (\hbar \mathbf{k}/m) \psi_0^* \psi_0$. This for real $V(\mathbf{r})$, vectors in 3D; start with 1D: $\mathbf{r} \rightarrow x$, $\mathbf{k} \rightarrow k$
- If there is an imaginary part of the potential $V_i(\mathbf{r})$, there is absorption, $\mathbf{k} \rightarrow \mathbf{k} + i\mathbf{q}$, and then (in 1D) the current $j(x)$ reduces with distance: $\mathbf{j} = (\hbar \mathbf{k}/m) \psi_0^* \psi_0 \exp(-2q x)$

Relationship of operators to H's UP

- If operators commute, can **be measured simultaneously**
Example \mathbf{p} and \mathbf{H} . Check that $[\mathbf{pH}-\mathbf{Hp}]\psi = 0$
- If operators do not commute, *cannot be measured simultaneously*, **the quantities obey H's UP**. **Example** \mathbf{x} and \mathbf{p}_x . Check that $[\mathbf{x}\mathbf{p}_x-\mathbf{p}_x\mathbf{x}]\psi = (\hbar/i)\psi$
- $[\]$ is called a **commutator**, and is written $[\mathbf{p},\mathbf{H}]$ or $[\mathbf{x},\mathbf{p}_x]$. The commutator $[\mathbf{x},\mathbf{p}_x] = \hbar/i$ states Heisenberg's Uncertainty Principle precisely: an *Operator equation*.
- In classical physics $[\]$ is called a **Poisson bracket**. As $\hbar \rightarrow 0$ we get classical results. One way to start quantum mechanics. *Operator theorems*, true for any ψ

Representations: position and momentum

- Just as with vectors, **operator theorems** are **independent** of the coordinate system. However, to work out real problems, coordinates are usually needed.
- The equivalent in Quantum is the **Representation**. Some problems fit naturally into **x- or r-representation** $\psi(\mathbf{r},t)$, whereas others are easier to visualize in **momentum** (p_x or \mathbf{p})-**representation**, $\phi(\mathbf{p},t)$.
- For a beam in free space $\psi(\mathbf{r},t) = \psi_0 \exp[i(\mathbf{k} \cdot \mathbf{r} - \omega t)]$ and $\mathbf{j} = (\hbar \mathbf{k}/m) \psi_0^* \psi_0$. This wave function is best considered in momentum representation $\phi(\mathbf{k} \text{ or } \mathbf{p}) = \delta(\mathbf{p} - \hbar \mathbf{k})$. Generally true for particle beams with $E > 0$; normalization current/ unit area.
- For bound states with $E < 0$, $\psi(\mathbf{r})$ is a localized function, and is preferred, But $\psi(\mathbf{r})$ and $\phi(\mathbf{k} \text{ or } \mathbf{p})$ are related as FT pairs...